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## **DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) INCOME LIMITS**

Listed below are the current income limits that the City of Long Beach and its representatives will use to determine whether an individual or company meets the federal definition of "Section 3" eligibility. All income amounts are subject to adjustment by the City in accordance with HUD guidelines.

FAMILY SIZE	INCOME CRITERIA (80% OF MEDIAN)
1	\$66,250
2	\$75,700
3	\$85,150
4	\$94,600
5	\$102,200
6	\$109,750
7	\$117,350
8	\$124,900
]	FY21 EFFECTIVE 4/01/21

Attachment A



## CITY OF LONG BEACH HUD SECTION 3 AFFIDAVIT

Must be completed by the prime contractor and submitted with bid documents. Must be completed and submitted by all subcontractors prior to issuance of Notice to Proceed.

**IMPORTANT NOTE:** YOUR SIGNATURE BELOW INDICATES THAT YOU RECEIVED A COPY OF THE CITY'S SECTION 3 POLICY AND REQUIREMENTS AND THE HUD SECTION 3 COMPLIANCE CERTIFICATION (ATTACHMENT C) AND COMPLIANCE REQUIREMENTS STATED THEREIN.

I, THE UNDERSIGNED					
	OFFICER OF AGENT OF O (PRINT NAM		D	SIGNATURE'S TITLE	
	SIGNATURI	E			
PROJECT NAME:					_
COMPANY NAME:					
ADDRESS:					
		Сіту	STATE	ZIP CODE	
<b>Telephone Number:</b>	( )				
E-MAIL ADDRESS:					
DATE:					

ATTACHMENT B

### **HUD SECTION 3 COMPLIANCE CERTIFICATION**

Must be signed by prime contractor and all subcontractors prior to issuance of notice to proceed

The contract for this work will include the following clause (referred to as the HUD Section 3 clause):

- A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing. Consistent with 24 CFR §135.72(b), the City of Long Beach shall refrain from entering into a contract with any contractor after notification is received from HUD that the contractor has been found in violation of the Section 3 regulations. The City will also review past performance of contractors on Section 3 projects in determining contract awards. It is mandatory that all contractors seeking to be awarded a City of Long Beach Section 3 covered project attend the pre-bid conference.
- **B.** The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- **C.** The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- **E.** The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- **F.** The contractor understands that non-compliance and willful violation of HUD's regulations in 24 CFR part 135 with respect to Section 3 and related regulations by itself, its sub-contractors and or lower tier contractors will result in corrective measures taken by the City of Long Beach to achieve compliance, including suspension or withholding of contractor payments.
- **G.** With respect to work performed in connection with Section 3 covered Indian housing assistance, Section 7 (b) of the Indian Self Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7 (b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment subcontracts shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and Section 7 (b) agree to the comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7 (b)

I, THE UNDERSIGNED, ON BEHALF OF THE COMPANY HEREBY CERTIFY THAT I HAVE RECEIVED, READ AND DO UNDERSTAND THE CONTENTS OF THE ABOVE STATED "SECTION 3" CLAUSE.

Signature		Print Name	DATE
TITLE (OFFICER OR A	UTHORIZED AGENT)	COMPANY NAME	
Address	Сіту	State	ZIP CODE
Revised April 25, 2	2019	ATTACHMENT C	



## **City of Long Beach Existing Core Workforce List**

Must be completed and submitted by prime contractor and all subcontractors prior to an issuance of Notice to Proceed

FIRM NAME:		
	CONTRACT	
PROJECT:	NO.:	
SUBMITTED	TELEPHONE	
BY:	NO.:	

The following is a list of "Core Employees." These employees, in accordance with the definition of an Existing Core Workforce, have been on the active payroll for fifty (50) out of the last one hundred (100) working days prior to the award. The Contractor and subcontractor, at any tier, must submit this form prior to commencing work on the project.

The Contractor and subcontractor(s) shall provide to the Section 3 Coordinator or designee proof of their "Core Workforce" upon request. Alternative worksheets may be submitted only if the same information shown below is contained.

Name	SSN (last 4 digits only)	Classification	Hire Date	Date Last Employed	Zip Code	Verified (office use only)

Certification:

I CERTIFY THAT THE INFORMATION CONTAINED HEREON IS TRUE AND CORRECT. IF IT IS DETERMINED THAT THE INFORMATION REPORTED HEREIN IS NOT TRUE AND CORRECT, I WILL NOT BE ALLOWED TO DO WORK (BEGIN, FINISH, COMPLETE) FOR THE CITY OF LONG BEACH.

Signature:

Date:

Title:



### CITY OF LONG BEACH PROJECT EMPLOYEE SURVEY

To be completed by all new hires Form to be submitted with certified payrolls every time an employee is hired (This form is mandatory and must be completed by the prime contractor and all subcontractors as required.)

The sole purpose of this form is to calculate the number of Section 3 Residents working on construction projects. This form was <u>not</u> created by the I.R.S. (Internal Revenue Service). Please print or type.

COMPANY:				
Project:				
NAME:				
ADDRESS:				Арт.:
CITY:		STATE:	ZIP CODE:	
Telephone: (	)			
	DUSEHOLD SIZE AND INCOMI . IN ORDER TO REVIEW A FUI			
NUMBER OF PEOPL	e Living with you in you.	R HOME:		
Cu	JRRENT YEAR ANNUAL INCOME	E: \$		_
LA	AST YEAR ANNUAL INCOME:	\$		_
<b>OR</b> A PUBLIC HOUSI	NG RESIDENT OR RECEIVE SEC	fion 8 assistance	YES N	0
SIGNATURE			DATE	



## CITY OF LONG BEACH Employment Forecast Form

To be completed by the prime contractor and all subcontractors

To ensure efforts are made to reach the City of Long Beach's numerical goals for job placement of local low-income individuals, the prime contractor and all subcontractors shall provide the following employment forecasts for this project on a monthly basis (this document must be submitted with the certified payrolls). This is a mandatory monthly submittal.

Project:	
Company:	Date:
Reported by:  Prime Contractor	Subcontractor
Monthly Reporting Period:	

TRADE	NUMBER OF EMPLOYEES Needed To Complete Task	FORECASTED WORK HOURS BY TRADE
Overall Totals for All Trades		

### ATTACHMENT F



# CITY OF LONG BEACH EMPLOYMENT SECTION 3 WORKFORCE

To ensure efforts are made to reach the City of Long Beach's numerical goals for job placement of local low-income individuals, the Contractor and all subcontractors shall provide the following employment information for this project on a monthly basis:

This is a mandatory monthly submittal for by all contractors (prime and subcontractors) and must be submitted with certified payrolls.

Project:	
Company:	
Reported by: 🛄 Prime Contractor	Subcontractor

Monthly Reporting Period:

NAME OF NEW HIRE	HIRE DATE	OCCUPATION

### ATTACHMENT G



# NOTICE OF HUD SECTION 3 JOB AND CONTRACTING OPPORTUNITIES

LONG BEACH & SIGNAL HILL RESIDENTS MAY QUALIFY FOR EMPLOYMENT AND CONTRACTING OPPORTUNITIES ON CONSTRUCTION PROJECTS WITH HUD SECTION 3 REQUIREMENTS. LOW-INCOME AND VERY LOW-INCOME RESIDENTS AND BUSINESSES WILL RECEIVE HIRING PREFERENCE ON PROJECTS WITH SECTION 3 REQUIREMENTS.

INTERESTED LONG BEACH & SIGNAL HILL RESIDENTS AND BUSINESSES SHOULD CONTACT THE DESIGNATED PERSON BELOW TO DETERMINE THEIR ELIGIBILITY FOR CURRENT AVAILABLE POSITIONS, POSSIBLE FUTURE POSITIONS OR OTHER EMPLOYMENT AND CONTRACTING INFORMATION.

	Contracting/ Business	Employment Opportunities
Contact	HUD Section 3 Coordinator	Sal Barajas
Phone	(562) 570-6200	(562) 570-3651
Address	City Hall	Pacific Gateway Network
	411 W. Ocean Blvd, 6th Fl,	4811 Airport Plaza Dr, Ste 120
	Long Beach, CA 90802	Long Beach, CA 90815
Email	lbpurchasing@longbeach.gov	Salvador.barajas@pacific-gateway.org
Fax	(562) 570-5099	(562) 570-4551

This notice complies with the City of Long Beach Section 3 Action Plan.

ATTACHMENT H



# **AVISO DE OPORTUNIDADES DE TRABAJO Y CONTRATOS**

Los residentes y negocios de Long Beach pueden calificar para oportunidades de empleo por parte del programa de construcción. Residentes de bajos ingresos recibirán preferencia para trabajos y contractos.

RESIDENTES Y NEGOCIOS DE LONG BEACH INTERESADOS, FAVOR DE PONERSE EN CONTACTO CON LA PERSONA DESIGNADA AL FONDO DE ESTA OJA, PARA DETERMINAR SU ELEGIBILIDAD PARA POSICIONES DISPONIBLES, FUTURAS POSICIONES POSIBLES Y OTRA INFORMACIÓN DE EMPLEO.

	<b>Contracting/ Business</b>	Employment Opportunities
Contact	HUD Section 3 Coordinator	Sal Barajas
Phone	(562) 570-6200	(562) 570-3651
Address	City Hall	Pacific Gateway Network
	411 W. Ocean Blvd., 7th Fl.,	4811 Airport Plaza Dr, Ste 120
	Long Beach, CA 90802	Long Beach, CA 90815
Email	lbpurchasing@longbeach.gov	Salvador.barajas@pacific-gateway.org
Fax	(562) 570-5099	(562) 570-4551

Este aviso cumple con el plan de acción de la Sección 3 de la ciudad de Long Beach.

ATTACHMENT H



### PROJECT:\_\_\_\_\_

### **COMPANY:**

This form must be submitted with the prime contractor's bid documents as evidence that good faith efforts were made **if unable to meet the 10% Section 3 Business Contracting Requirements** at the time of bid. This form must also be maintained during the entire period of construction for any contact with businesses.

Date/Time	Company	Mode of Contact	Contact Info	Contact Person	<b>RESULTS OF</b> <b>CONVERSATION</b>
Example:	Example:	Example:	Example:	Example:	Example:
1/12/06/ 2:00 p.m.	ABC Contracting Co.	Fax	Fax: (562) 123-4567	John Doe, Owner	Fax was sent to John Doe contractor asking for their participation. John Doe called us and we inquired about their product experience. John Doe sent me a listing of their completed projects. This contractor thinks they may qualify as a Section 3 business. We will include them as part of our proposed team.



### Section 3 Business Contact Log for documenting contact with: <u>Outreach Agencies</u>

<b>PROJECT:</b>		
-		

COMPANY:\_\_\_\_\_

Date/Time	Company	Mode of Contact	Contact Info	Contact Person	<b>RESULTS OF</b>
					CONVERSATION
Example: 1/12/06/	Example: ABC	Example:	Example:	Example:	Example:
2:00 p.m.	Contracting Co.	Fax	Fax: (562) 123-4567	John Doe, Owner	Fax was sent to John Doe contractor asking for their participation. John Doe called us and we inquired about their product experience. John Doe sent me a listing of their completed projects. This contractor thinks they may qualify as a Section 3 business. We will include them as part of our proposed team.
					proposed team.

### ATTACHMENT I



## THE CITY OF LONG BEACH HUD SECTION 3 BUSINESS INFORMATION FORM

Must be submitted with bid documents. To be completed by the prime contractor and all subcontractors. If not available with bid documents, this form must be received by the City by 10:00 a.m. of the next working day.

The sole purpose of this form is to calculate the number of Section 3 business enterprises working on construction projects. Please print or type.

Company Name:	
ADDRESS:	
CITY:	STATE: ZIP CODE:
Telephone: ( )	
FORM COMPLETED BY:	
SERVICE OR PRODUCT:	
IS 51% OR MORE OF YOUR BUSINESS OWNED BY SECTION 3 RESIDENTS?	YES NO
IS AT LEAST 30% OF YOUR WORKFORCE (FULL TIME, PERMANENT STAFF) MADE UP OF SECTION 3 RESIDENTS OR WERE THEY SECTION 3 RESIDENTS WITHIN 3 YEARS OF	
THEIR FIRST DATE OF EMPLOYMENT?	Yes No

SIGNATURE

DATE



## CITY OF LONG BEACH SAMPLE SECTION 3 LETTER TO UNION

Must be completed by the prime contractor and subcontractors prior to issuance of Notice to Proceed.

Contractor Information Address City, State, Zip Telephone, Fax California License #

Date

Union Hall Address City, State, Zip

Subject: Project Site-

Dear:

(Name of Contractor), is a subcontractor or contractor on the (Name of Project). This construction project falls under the United States Department of Housing and Urban Development (HUD) Section 3 regulations for the City of Long Beach.

The Section 3 regulation compliance requires that contactors to the greatest extent feasible hire lowincome residents of Long Beach and Signal Hill for the project. We are asking for your cooperation in using your best efforts to dispatch workers from your union that reside in Long Beach and Signal Hill.

Please keep this letter on file. When we request that workers are dispatched to a job, we would appreciate your assistance in helping our company and the City of Long Beach meet the Section 3 local hiring requirements.

Sincerely,

(Person Name)

### ATTACHMENT K

### CITY OF LONG BEACH

### **WORKER REQUEST FORM FOR UNION CONTRACTORS**

#### To the Contractor:

**Please complete and fax this form to the applicable union** to request craft workers that fulfill all hiring requirements for this project. A duplicate fax request is to be sent to the Business Services Bureau, attention Section 3 Coordinator at (562) 570-5099. After faxing your request, please call the Local to verify receipt and substantiate their capacity to furnish workers as specified below. <u>Please print your Fax</u> Transmission Verification Reports and keep copies for your records.

#### To the Union:

Please complete the "Union Use Only" section and fax this form back to the requesting Contractor. Please retain a copy of this form for your records and send a copy to the City of Long Beach Business Services Bureau at (562) 570-5099.

To:	Local #	Fax # ( )	Date:
cc:	Pacific Gateway Network c/o Fax	x # (562) 570-4551	
From (Con	npany):	Person Sending:	
Contact Pl	none:	Contact Fax:	

Please provide me with union craft workers. The City of Long Beach requires that 30% of project apprentice and journey-level hours be performed by residents of the cities of Long Beach and Signal Hill to the extent feasible.

Covered Zip Codes of Residence					
90802	90803	90804	90805	90806	90807
90808	90810	90813	90814	90815	90755

<u>1<sup>st</sup> Priority: Section 3 Local Requirement</u> - Union craft employees, including apprentices, who reside in one of the local zip codes listed above, <u>and</u> are determined to be Section 3 eligible (80% of median income or less) should be dispatched first. The Workforce Investment Board can assist in determining Section 3 eligibility.

 $2^{nd}$  Priority: Local Requirement - If insufficient union craft employees, including apprentices, who live in one of the zip codes listed above and are Section 3 eligible exist, other Long Beach and Signal Hill residents may fulfill hours to meet the requirements

<u>General Dispatch</u> - Union craft employees may be dispatched per normal dispatch procedures, notwithstanding the above Section 3 and local preferences.

#### **Craft Employees Requested**

Job/Craft Description	Journeyman or Apprenticeship Level	Number Requested	Report Date	Report Time
	Total Workers Requested:			

Please have worker(s) report to the following work address indicated below:

Project & Site Address:		Report to (on-site contact):			
On-site Tel #:	Fax: Cor	nments or special requirements	s:		
		Union Use Only			
	(Please	check boxes as appropriate)			
Reception Date:	Dispatch Date:	Received By:			
Requested Dispatch	AVAILABLE FOR DISPATCH	Unavailable for Dispatch	Comments		
Section 3 and Local					
Local Only					
General Dispatch					



## **WORKER REQUISITION FORM**

Contractor: Please call Salvador Barajas at (562) 570-3651; complete form and fax to the Pacific Gateway Network at (562) 570-4551 <u>AND</u> fax a duplicate to the Section 3 Coordinator at (562) 570-5099

CFW STAFF ONLY:	FOR INFORMATION CONTACT:		
	Salvador Barajas		
	PHONE: 562.570.3651 Fax 562. 570.4551		
	1 ax 502. 570. <del>4</del> 551		
Date:			
Company Information			
Phone: Fax:	E-mail		
Name:			
Contact Person:	Title:		
Address:			
	s in BusinessNo. of employees:		
Benefits: None [] Med. [] Dental [] Vision []	Retirement [ ] Effective:		
Position Title:	_Position Reports:		
Location of position (Project Site Name):	Expected Start Date:		
Total Openings Project N	ame & Location		
SHIFT: M[]T[]W[]Th[]F[]S[]S	S[] Day[] Swing[] Night[] Flex[]		
Salary:/hr. mo.,annual - Salary	+ Commission Hoursto		
Type of Position:         Perm []         Temp []         F/T []	P/T [ ] Seasonal [ ]		
Required Skills: Experience, Education:			
• • •			
Job Description:			
•			
Referral Procedure: Refer /Pre-screen from CFWI	F [ ] Fax Resume [ ] Mail Resume [ ] E-mail [ ]		
Apply in person [ ] Call for appt. [ ] Is this Com	pany in the Enterprise Zone? Yes [] No []		
Refer to EDD CalJobs Yes [] No [] If Yes Co	ompany CA Tax ID		





# I. Examples of Efforts To Offer Training and Employment Opportunities to Section 3 Residents.

### (1) and (2) *Intentionally Omitted*

(3) Establishing training programs, which are consistent with the requirements of the Department of Labor, for public and Indian housing residents and other section 3 residents in the building trades.

(4) Advertising the training and employment positions by distributing flyers (which identify the positions to be filled, the qualifications required, and where to obtain additional information about the application process) to every occupied dwelling unit in the housing development or developments where category 1 or category 2 persons (as these terms are defined in Sec. 135.34) reside.

5) Advertising the training and employment positions by posting flyers (which identify the positions to be filled, the qualifications required, and where to obtain additional information about the application process) in the common areas or other prominent areas of the housing development or developments. For HAs, post such advertising in the housing development or developments where category 1 or category 2 persons reside; for all other recipients, post such advertising in the housing development or developments and transitional housing in the neighborhood or service area of the section 3 covered project.

(6) Contacting resident councils, resident management corporations, or other resident organizations, where they exist, in the housing development or developments where category 1 or category 2 persons reside, and community organizations in HUD-assisted neighborhoods, to request the assistance of these organizations in notifying residents of the training and employment positions to be filled.

(7) Sponsoring (scheduling, advertising, financing or providing in-kind services) a job informational meeting to be conducted by an HA or contractor representative or representatives at a location in the housing development or developments where category 1 or category 2 persons reside or in the neighborhood or service area of the section 3 covered project.

(8) Arranging assistance in conducting job interviews and completing job applications for residents of the housing development or developments where category 1 or category 2 persons reside and in the neighborhood or service area in which a section 3 project is located.

(9) Arranging for a location in the housing development or developments where category 1 persons reside, or the neighborhood or service area of the project, where job applications may be delivered to and collected by a recipient or contractor representative or representatives.

(10) and (11) Intentionally Omitted



(12) Consulting with State and local agencies administering training programs funded through JTPA, probation and parole agencies, unemployment compensation programs, community organizations and other officials or organizations to assist with recruiting Section 3 residents for the HA's or contractor's training and employment positions.

(13) Intentionally Omitted

(14) Employing a job coordinator, or contracting with a business concern that is licensed in the field of job placement (preferably one of the section 3 business concerns identified in part 135), that will undertake, on behalf of the HA, other recipient or contractor, the efforts to match eligible and qualified section 3 residents with the training and employment positions that the HA or contractor intends to fill.

(15) Intentionally Omitted

(16) Where there are more qualified section 3 residents than there are positions to be filled, maintaining a file of eligible qualified section 3 residents for future employment positions.

(17)-(20) Intentionally Omitted

#### II. Examples of Efforts To Award Contracts to Section 3 Business Concerns.

#### (1)-(8) Intentionally Omitted

(9) Carrying out workshops on contracting procedures and specific contract opportunities in a timely manner so that section 3 business concerns can take advantage of upcoming contracting opportunities, with such information being made available in languages other than English where appropriate.

#### (10) Intentionally Omitted

(11) Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways to facilitate the participation of section 3 business concerns.

(12) Where appropriate, breaking out contract work items into economically feasible units to facilitate participation by section 3 business concerns.

### ATTACHMENT N